

**618 NPA (Illinois)
Final Jeopardy Procedures
Extraordinary Code Conservation Measures
Amended 6/20/2002**

Introduction

This document describes Extraordinary Code Conservation Measures for managing central office codes (NXXs) for the duration of the jeopardy condition in this NPA. The purpose of this document is to ensure that all pertinent information is readily available to current and potential code holders. These procedures attempt to provide...

- fair and equitable treatment for all segments of the telecommunications industry
- the most effective means of managing the limited number of unassigned NXXs
- a selection of NPA-specific options for local industry consideration

Questions

Questions regarding the content of this document may be directed to either the NANPA CO Code Administrator or the NANPA NPA Relief Planner. (Refer to the NANPA Web site, www.nanpa.com, for specific contact information.)

Introduction of New NPA

Planning Timeline	
Relief Milestones	Key Dates
- <i>Date on which this NPA was declared to be in Jeopardy</i>	Jeopardy declared 07/11/00; 5PM Pacific
- <i>Estimated/Actual Permissive Dialing Period Begins (Overlay or Split)</i>	19 months from date jeopardy declared (Notes 1 & 2)
- <i>Estimated/Actual Mandatory Dialing of New NPA Begins (Overlay or Split)</i>	25 months from date jeopardy declared (Notes 1 & 2)

Notes:

Note 1: Target interval for NPA relief in order to calculate jeopardy allocation quantities

Note 2: Dates will be entered after receipt of relief plan decision from State Regulatory Commission

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Submitting Code Requests

Table A Key Dates and Requirements		
Row	Key Points	Requirement
A.	<i>Implementation of Extraordinary Code Conservation Measures</i>	<i>Code Conservation allocation begins</i> 09/01/00
B.	<i>Minimum quantity of codes available for assignment (“base allocation”):</i>	5 NXXs assigned per month <i>(See Note 1) Revised 6/20/2002</i>
C.	<i>Maximum requests that may be submitted per month (“monthly submissions”):</i>	5 requests allowed per OCN/Entity <i>(See Note 2) Revised 6/20/2002</i>
D.	<i>Last day and time code requests will be accepted (“submission deadline”):</i>	<i>Part 1 received no later than...</i> 20th business day, 4:00 p.m., Pacific
E.	<i>First day and time code requests will be accepted (“submission start date”):</i>	<i>Part 1 received no earlier than...</i> 10th business day, 8:00 a.m., Pacific
F.	<i>Part 1 code requests to be faxed to NANPA CO Code Administrator:</i>	Sandra Poortinga Fax #: 925-363-8761 Phone: 925-363-8776
G.	<i>Requirements for participating in monthly allocation process:</i>	<i>Each request must meet all “Eligibility Requirements” (See Note 3a & Note 3B))</i>
H.	<i>When and how applicants will be notified of the disposition of their code request(s):</i>	<i>Part 3 issued on or before the end of the 10th business day (Pacific Time) after the lottery date (Note 4)</i>
I.	<i>Process that will be used to allocate available codes:</i>	<i>Monthly allocation process is identified on Table B & Table C.</i>
J.	<i>Code effective date for requests receiving an NXX assignment:</i>	<i>Effective date no less than 52 calendar days after NXX assigned (See Note 5)</i>
K.	<i>Method by which initial, growth and “new application” requests will receive a code:</i>	<i>Industry Option:</i> One-Pool (See Note 6)

*** **“Submission Deadline” is the date on which the industry-standard 66-day processing interval begins**

** **“Submission Start Date” sets the “submission interval” (period during which requests will be accepted)**

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Table A, Note 1 – “Base Allocation”:

- 1) *Any part of a base allocation that is not assigned in an allocation month will not carryover for assignment in the following month. Revised 6/20/2002.*
- 2) *“Base allocation” is determined by dividing the number of codes available for assignment at implementation of these procedures by the estimated number of months remaining until the target start of mandatory dialing.*
 - a) *The base allocation quantity may be revised, upward or downward, depending upon the relief plan and schedule approved/ordered by the state Regulatory Commission.*
 - b) *The industry will reconvene via conference call as needed.*
- 3) *In the event that a significant number of NXXs are recovered during the jeopardy period, the “base allocation” will be recalculated to reflect the larger number of available codes.*
 - a) *The CO Code Administrator may adjust the monthly allocation as a result of code recovery without seeking industry consensus.*
 - b) *The CO Code Administrator will post on the NANPA Web site a notice of recalculated monthly allotment and provide a faxed notification. It is the responsibility of each code applicant to check the NANPA Web site, www.nanpa.com, at least monthly for such notice.*

June 20, 2002 – Consensus was reached to incorporate the following revisions to the jeopardy guidelines. Carryover provision has been eliminated effective with the June, 2002 lottery. The monthly lottery allocation, as well as the maximum number of requests that may be submitted per month has been reduced to 5, effective with the June, 2002 lottery. If the Pooling Administrator submits a request for a code, this request will be given priority assignment from the lottery. Should the Pooling Administrator need more than one code, the second and subsequent requests will participate in the lottery.

Table A, Note 2 – “Monthly Submissions”:

- 1) *NXX codes will not be reserved.*
- 2) *Requests are to be specified as 1st, 2nd, up to “nth” choice (where “N” represents the maximum number of requests that may be submitted).*
 - a) *If an applicant submits multiple requests, but does not specify “choice,” the code administrator will assign 1st, 2nd, up to nth choice based on the sequence in which the requests were received on the NANPA Code Administrator’s fax machine.*
 - b) *If an applicant submits more than “n” code requests (the maximum permitted), only the first “n” received will be accepted; any additional requests received that calendar month will be denied.*

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Table A, Note 3a – “Eligibility Requirements, Basic Data”:

A code request must meet all eligibility requirements by the monthly “submission deadline” in order to be eligible to participate in that month’s code allocation process.

- 1) *The Part 1 code request must be complete and accurate.*
 - a) *A valid NPA/LATA association must be provided.*
 - b) *A valid Rate Center/NPA association must be provided.*
 - c) *On the requested effective date, the specified rate center must physically reside within the boundaries of the specified NPA.*
 - d) *If the requested rate center will be moving to a new NPA and the requested effective date falls within the NPA split permissive dialing period, then the new NPA must be specified.*
- 2) *The specified OCN (Operating Company Number) must be validly assigned to the applicant.*
 - a) *Companies “doing business as” another company must have a “d/b/a/” memo on file with the NANPA CO Code Administrator specifying affected company names and OCNs.*
- 3) *The code applicant must be certified to provide service in the requested NPA/rate center.*
 - a) *The type of certification (CPCN #, Docket #, letter of authorization, FCC license #, etc.) and certification date must be specified.*
 - b) *The type of entity requesting the service (franchised local exchange carrier, competitive local exchange service carrier, cellular company, paging company, PCS, etc.) must be specified.*
 - c) *The type of service to be provided by the requested code (end office, paging, cellular, PCS, etc.) must be specified.*
- 4) *The requested effective date may be no more that 6 months after the “submission deadline.”*
- 5) *Requests for a “growth” code must include the Months to Exhaust (“MTE”) Worksheet.*

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Table A, Note 4 – “Notification of Disposition on Denied Requests”

- 1) *Requests will be suspended if complete and correct Part 1 data (or local industry-specified supporting documentation) is not received by the allocation month’s submission deadline.*
 - a) *The request will be ineligible for participation in the allocation process until all eligibility requirements have been met.*
 - b) *If a request has been suspended, but required data (or industry-specified supporting documentation) is later received by the submission deadline of a subsequent month, the request will be included in that subsequent month’s code allocation process.*

- 2) *Requests will be denied in the following situations:*
 - a) *The request is to reserve an NXX code.*
 - b) *The requested effective date is outside the allowable timeframe.*
 - c) *Code request(s) submitted by the OCN exceed the “n” per month maximum.*
 - d) *The applicant is not authorized/certified to provide the specified service in the requested NPA/rate center.*

Table A, Note 5 – “Code Effective Date”

- 1) *The Part 3 code effective date will reflect no less than 52 calendar days from the date on which the lottery is held (7 calendar days for AOCN processing and 45 calendar days for industry notification). 14 calendar days are for the CO Code processing time, which comprises the 66 days.*

- 2) *Expedited code effective dates will not be accepted.*

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Table A, Note 6 – Method by which Initial, Growth and “New Application” requests will receive a code.

- 1) *There will be only one “pool” from which NXX code assignments will be made.*
 - a) *There will be no distinction as to whether an applicant is a current code holder or a new market entrant.*
 - b) *There will be no distinction as to whether a request is for an initial code, growth code or “new application” (i.e., “specialized use”) of a code.*

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Governing Principle for Code Allocation

In any given month, where the Pooling Administrator submits an eligible request, that request will be granted during the lottery. Thereafter, each OCN submitting an eligible request will receive one code before any OCN receives two codes; each OCN submitting eligible requests for more than one code will each receive two codes before any OCN receives additional codes.

The term "Code Allocation" refers to the means of determining which code requests will receive a CO code assignment in any given month. Lottery is one method of allocation.

Code Allocation Process

Table B Code Allocation Process		
When the total number of <u>Eligible Requests</u> received by the submission deadline is...	Then available codes will be allocated each month in the following manner...	And the effect upon the subsequent month(s) allocation will be...
<i>Equal To the total number of codes available for assignment that month</i>	<i>Each request receives an NXX assignment</i>	<i>No effect; each available code will be assigned</i>
<i>Less Than the total number of codes available for assignment that month</i>	<i>Each request receives an NXX assignment</i>	<i>No effect; remaining quantity of codes do not carryover to the following month</i> <i>Revised 6/20/2002</i>

Note: See Table C if the total number of eligible requests is Greater Than available codes

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Table C Code Allocation Process			
When <u>Eligible Requests are Greater Than</u> the number of available codes...	The following restrictions will apply...	Codes will be allocated in this manner...	And the effect on the following month will be...
<i>If the total number of <u>OCNs submitting eligible Requests</u> is...</i>	<i>Equal To</i> <i>codes available for assignment that month</i>	<i>2nd- and nth-choice code requests will be denied</i>	<i>Each OCN receives one NXX assigned to their 1st-choice request</i>
	<i>Less Than</i> <i>codes available for assignment that month</i>	<i>No restrictions</i>	<ul style="list-style-type: none"> a) <i>Each OCN receives at least one NXX assigned to their 1st-choice request</i> b) <i>Lottery will be used to determine which OCN(s) receive the remaining code(s)</i>
	<i>Greater Than</i> <i>codes available for assignment that month</i>	<i>2nd- and nth-choice code requests will be denied</i>	<ul style="list-style-type: none"> a) Only eligible 1st-choice requests will participate in the allocation process b) <i>Lottery will be used to determine which OCN(s) receive a code assignment</i> c) <i>Some OCNs will receive an NXX; others will not</i>

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Industry Option;
Refer to details in "Priority Numbers Option" below

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Priority Numbers Option (Refer to Table C)

The local industry team has decided upon the following method by which code requests that do not receive an assignment in the lottery will be handled.

OCNs that do not receive a code in a given month's lottery will *not* have to resubmit a new Part 1 code request if the OCN still needs an NXX assignment; Priority Numbers will NOT be assigned with this option.

Modifications to These Procedures

These procedures will be modified in the following circumstances:

1) If, during Industry relief planning meetings, consensus is reached to add, modify or delete specific dates and intervals identified on Table A.

-OR-

2) If the State Commission NPA Relief Order specifies implementation dates that are different from those initially proposed by the industry relief planning team.