

## NORTH AMERICAN NUMBERING PLAN PLANNING LETTER

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Subject: Extraordinary NPA-Specific Jeopardy Conservation Procedures Announced for 817 NPA  
(Texas)

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NANPA CO Code Administration advises that the 817 NPA has number demand that has increased beyond normal forecasts and is in jeopardy of exhausting prior to when relief can be provided. According to the *Central Office Code (NXX) Assignment Guidelines* (document INC 95-0407-008, revision September 18, 1998), “ A jeopardy condition exists when the forecasted and/or actual demand for NXX resources will exceed the known supply during the planning/implementation interval for relief.” The Purpose of this announcement is to provide the extraordinary NPA-specific conservation measures as defined in Section 9.5 of the *Central Office Code (NXX) Assignment Guidelines* which have become necessary for the 817 NPA.

All remaining codes in the 817 NPA will be rationed through the **Extraordinary Jeopardy Procedures as approved by the Industry until relief is provided in accordance with the respective NPA relief plan.** The attached document, **817 NPA Extraordinary Jeopardy Procedures**, describes the lottery process.

Per the *Central Office Code (NXX) Assignment Guidelines* (document INC 95-0407-008, revision September 18, 1998) Section 9.4 B:

“Upon the receipt of the notice of the jeopardy situation from the Code Administrator, each code holder *must* review their forecast and demand data and provide the information to the Code Administrator within 30 days using the ‘Jeopardy COCUS’ form (Appendix E).

Questions concerning the relief of the 817 NPA should be directed to **Sandy Tokarek**, Senior NPA Relief Planner, at **412-431-4091**. Questions concerning the extraordinary NPA-specific conservation procedures should be directed to **Joseph Rano**, NANPA CO Code Administrator, at **925-363-8747**. Questions concerning the contents of this letter may be referred to Rose Breidenbaugh, on 202 756-5779.

R. C. Breidenbaugh  
North American Numbering Plan Administration

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**FINAL Jeopardy Procedures**  
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**Introduction**

This document describes Extraordinary Code Conservation Measures for managing central office codes (NXXs) for the duration of the jeopardy condition in this NPA. The purpose of this document is to ensure that all pertinent information is readily available to current and potential code holders. These procedures attempt to provide...

- fair and equitable treatment for all segments of the telecommunications industry
- the most effective means of managing the limited number of unassigned NXXs
- a selection of NPA-specific options for local industry consideration

**Questions**

Questions regarding the content of this document may be directed to either the NANPA CO Code Administrator or the NANPA NPA Relief Planner. (Refer to the NANPA Web site, [www.nanpa.com](http://www.nanpa.com), for specific contact information.)

**Introduction of New NPA**

<b>Planning Timeline</b>	
<b>Relief Milestones</b>	<b>Key Dates</b>
<ul style="list-style-type: none"> <li>• Date on which this NPA was declared to be in Jeopardy</li> </ul>	<i><b>June 23, 1999</b></i>
<ul style="list-style-type: none"> <li>• Estimated date Permissive Dialing Period begins (Overlay or Split)</li> </ul>	<i><b>February 5, 2000</b></i>
<ul style="list-style-type: none"> <li>• Estimated date Mandatory Dialing of new NPA begins (Overlay or Split)</li> </ul>	<i><b>July 1, 2000</b></i>

**Notes:**

*Note 1: Target interval for NPA relief in order to calculate jeopardy allocation quantities*

*Note 2: Dates will be entered after receipt of relief plan decision from State Regulatory Commission*

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**Submitting Code Requests**

<b>Table A</b> <b>Key Dates and Requirements</b>		
<b>Row</b>	<b>Key Points</b>	<b>Requirement</b>
A.	Implementation of Extraordinary Code Conservation Measures	Code Conservation allocation begins  <i>August 10, 1999</i>
B.	Minimum quantity of codes available for assignment (“base allocation”):	“8” NXXs assigned per month (See Note 1)
C.	Maximum requests that may be submitted per month (“monthly submissions”):	“4” requests allowed per OCN/Entity (See Note 2)
D.	Last day and time code requests will be accepted (“submission deadline”):	Part 1 received no later than... <i>“17<sup>th</sup>”</i> business day, 4:00 p.m., Pacific (day and time established by NANPA***)
E.	First day and time code requests will be accepted (“submission start date”):	Part 1 received no earlier than... <i>“7<sup>th</sup>”</i> business day, 8:00 a.m., Pacific (day determined by local NPA industry**)
F.	Part 1 code requests to be faxed to NANPA CO Code Administrator:	<i>Joe Rano</i> <i>Fax #: 925-363-8734</i>  <i>Phone:925-363-8747</i>
G.	Requirements for participating in monthly allocation process:	Each request must meet all “Eligibility Requirements” (See Note 3a & Note 3b)
H.	When and how applicants will be notified of the disposition of their code request(s):	Part 3 issued on or before the end of the 10 <sup>th</sup> business day (Pacific Time) after the submission deadline (Note 4)
I.	Process that will be used to allocate available codes:	Monthly allocation process is identified on Table B & Table C.
J.	Code effective date for requests receiving an NXX assignment:	Effective date no less than 52 calendar days after NXX assigned (See Note 5)
K.	Method by which initial, growth and “new application” requests will receive a code:	One-pool (See Note 6)

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\*\*\* *“Submission Deadline” is the date on which the industry-standard 66-day processing interval begins*

\*\* *“Submission Start Date” sets the “submission interval” (period during which requests will be accepted)*

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**Table A, Note 1 – “Base Allocation”:**

- 1) Any part of a base allocation that is not assigned in an allocation month will carryover for assignment in the following month.
- 2) “Base allocation” is determined by dividing the number of codes available for assignment at implementation of these procedures by the estimated number of months remaining until the target start of mandatory dialing.
  - a) The base allocation quantity may be revised, upward or downward, depending upon the relief plan and schedule approved/ordered by the state Regulatory Commission.
  - b) The industry will reconvene via conference call **November 10, 1999 @ 10:00 am CST**. The purpose will be to determine whether there is a need to adjust the base allocation figure and, if so, to what quantity.
- 3) In the event that NXXs are recovered during the jeopardy period, the “base allocation” will be recalculated to reflect the larger number of available codes.
  - a) The CO Code Administrator may adjust the monthly allocation as a result of code recovery without seeking industry consensus.
  - b) The CO Code Administrator will post on the NANPA Web site a notice of recalculated monthly allotment and provide a faxed notification. It is the responsibility of each code applicant to check the NANPA Web site, [www.nanpa.com](http://www.nanpa.com), at least monthly for such notice.

**Table A, Note 2 – “Monthly Submissions”:**

- 1) NXX codes will not be reserved.
- 2) Requests are to be specified as 1<sup>st</sup>, 2<sup>nd</sup>, up to “nth” choice (where “n” represents the maximum number of requests that may be submitted).
  - a) If an applicant submits multiple requests, but does not specify “choice,” the code administrator will assign 1<sup>st</sup>, 2<sup>nd</sup>, up to nth choice based on the sequence in which the requests were received on the NANPA Code Administrator’s fax machine.
  - b) If an applicant submits more than “n” code requests (the maximum permitted), only the first “n” received will be accepted; any additional requests received that calendar month will be denied.

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***Table A, Note 3a – “Eligibility Requirements, Basic Data”:***

***A code request must meet all eligibility requirements by the monthly “submission deadline” in order to be eligible to participate in that month’s code allocation process.***

- 1) The Part 1 code request must be complete and accurate.
  - a) A valid NPA/LATA association must be provided.
  - b) A valid Rate Center/NPA association must be provided.
  - c) On the requested effective date, the specified rate center must physically reside within the boundaries of the specified NPA.
  - d) If the requested rate center will be moving to a new NPA and the requested effective date falls within the NPA split permissive dialing period, then the new NPA must be specified.
- 2) The specified OCN (Operating Company Number) must be validly assigned to the applicant.
  - a) Companies “doing business as” another company must have a “d/b/a” memo on file with the NANPA CO Code Administrator specifying affected company names and OCNs.
- 3) The code applicant must be certified to provide service in the requested NPA/rate center.
  - a) The type of certification (CPCN #, Docket #, letter of authorization, FCC license #, etc.) and certification date must be specified.
  - b) The type of entity requesting the service (franchised local exchange carrier, competitive local exchange service carrier, cellular company, paging company, PCS, etc.) must be specified.
  - c) The type of service to be provided by the requested code (end office, paging, cellular, PCS, etc.) must be specified.
- 4) The requested effective date may be no more that 6 months after the “submission deadline.”
- 5) Requests for a “growth” code must include the Months to Exhaust (“MTE”) Worksheet.

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**Table A, Note 3b – “Eligibility Requirements, Industry-Option Supporting Data”:**

***A code request must include/meet supporting data requirements specified by the local NPA relief planning team in order to be eligible to participate in any given month’s code allocation process.***

- 1) A Jeopardy COCUS must be on file for the NPA from each OCN submitting a code request.
  - a) For current code holders, the Jeopardy COCUS is to be submitted to the NANPA Code Administrator within 30 days of jeopardy being declared.
  - b) For new market entrants, the Jeopardy COCUS is to be submitted with the code request.

**Table A, Note 4 – “Notification of Disposition, Suspended and Denied Requests”**

- 1) Requests will be suspended if complete and correct Part 1 data (or local industry-specified supporting documentation) is not received by the allocation month’s submission deadline.
  - a) The request will be ineligible for participation in the allocation process until all eligibility requirements have been met.
  - b) If a request has been suspended, but required data (or industry-specified supporting documentation) is later received by the submission deadline of a subsequent month, the request will be included in that subsequent month’s code allocation process.
- 2) Requests will be denied in the following situations:
  - a) The request is to reserve an NXX code.
  - b) The requested effective date is outside the allowable timeframe.
  - c) Code request(s) submitted by the OCN exceed the “n” per month maximum.
  - d) The applicant is not authorized/certified to provide the specified service in the requested NPA/rate center.

**Table A, Note 5 – “Code Effective Date”**

- 1) The Part 3 code effective date will reflect no less than 52 calendar days from the date on which the lottery is held (7 calendar days for AOCN processing and 45 calendar days for industry notification). 14 calendar days are for the CO Code processing time, which comprises the 66 days.
- 2) Expedited code effective dates will not be accepted.

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*Table A, Note 6 – Method by which initial, growth and “new application” requests will receive a code.*

*There will be only one “pool” from which NXX code assignments will be made.*

- a) There will be no distinction as to whether an applicant is a current code holder or a new market entrant.
- b) There will be no distinction as to whether a request is for an initial code, growth code or “new application” (i.e., “specialized use”) of a code.

**Code Set-Aside for Overlay**

The quantity of codes to be set aside for “new entrants,” in accordance with the FCC overlay 90-day rule, has been determined through the industry planning process to be **15** codes.

- 1) New carriers that submit code requests (in an overlay NPA scenario) will receive at least one code from the “new entrant” (set-aside) pool.
- 2) Subsequent to receipt of their first NXX(s), new carriers would then participate with existing carriers under these extraordinary jeopardy procedures in obtaining any additional code(s).



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**Governing Principle for Code Allocation**

*In any given month, each OCN submitting an eligible request will receive one code before any OCN receives two codes; each OCN submitting eligible requests for more than one code will each receive two codes before any OCN receives additional codes.*

The term “Code Allocation” refers to the means of determining which code requests will receive a CO code assignment in any given month. Lottery is one method of allocation.

**Code Allocation Process**

<b>Table B Code Allocation Process</b>		
<b>When the total number of <u>Eligible Requests</u> received by the submission deadline is...</b>	<b>Then available codes will be allocated each month in the following manner...</b>	<b>And the effect upon the subsequent month(s) allocation will be...</b>
<i>Equal To</i> the total number of codes available for assignment that month	Each request receives an NXX assignment	No effect; each available code will be assigned
<i>Less Than</i> the total number of codes available for assignment that month	Each request receives an NXX assignment	Remaining quantity of codes will carryover to the following month

*Note: See Table C if the total number of eligible requests is Greater Than available codes*

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<b>Table C</b>				
<b>Code Allocation Process</b>				
<b>When <u>Eligible Requests are Greater Than</u> the number of available codes...</b>	<b>The following restrictions will apply...</b>	<b>Codes will be allocated in this manner...</b>	<b>And the effect on the following month will be...</b>	
<b><i>If the total number of <u>OCNs submitting eligible Requests</u> is...</i></b>	<b><i>Equal To</i></b> codes available for assignment that month	2 <sup>nd</sup> - and nth-choice code requests will be denied	Each OCN receives one NXX assigned to their 1 <sup>st</sup> -choice request	No effect; each available code will be assigned
	<b><i>Less Than</i></b> codes available for assignment that month	No restrictions	a) Each OCN receives at least one NXX assigned to their 1 <sup>st</sup> -choice request b) Lottery will be used to determine which OCN(s) receive the remaining code(s)	Any unassigned code quantity will carryover to the following month
	<b><i>Greater Than</i></b> codes available for assignment that month	2 <sup>nd</sup> - and nth-choice code requests will be denied	a) <b>Only eligible 1<sup>st</sup>-choice requests will participate in the allocation process</b> b) Lottery will be used to determine which OCN(s) receive a code assignment c) Some OCNs will receive an NXX; others will not	<b>No Priority Numbers</b>

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**Priority Numbers Option (Refer to Table C)**

The local industry team has decided upon the following method on how code requests that do not receive an assignment in the lottery will be handled:

**OCN's that do not receive a code in a given month's lottery will not have to resubmit a new Part 1 code request, if the OCN still needs an NXX assignment. OCN's carried over to the subsequent month will repeat the process stated on Tables B & C. Priority Numbers will NOT be assigned.**

**Modifications to These Procedures**

These procedures will be modified in either of the following circumstances:

- 1) If, during Industry relief planning meetings, consensus is reached to add, modify or delete specific dates and intervals identified on Table A.
- 2) If the State Commission NPA Relief Order specifies implementation dates that are different from those initially proposed by the industry relief planning team.